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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/648,019	
	Filing Date	August 25, 2000	
	First Named Inventor	Kenneth Y. Maxham	
	Art Unit	2633	
	Examiner Name	David C. Payne	
Total Number of Pages in This Submission	57	Attorney Docket Number	135661

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ENCLOSURES (Check all that apply)		
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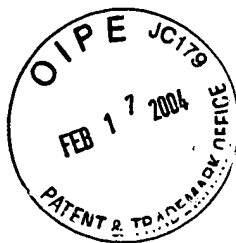
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
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Signature	<i>Jessica W. Smith</i>
Date	February 12, 2004

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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Serial No.: 09/648,019
Examiner: David C. Payne

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kenneth Y. Maxham **Docket:** 135661
Serial No.: 09/648,019 **Art Unit:** 2633
Filed: August 25, 2000 **Examiner:** David C. Payne
Title: Safety Shutdown System for a WDM Fiber Optical Communications Network

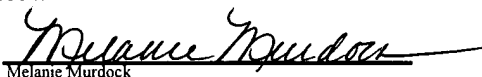
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INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 & 1.98

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Melanie Murdock

In the matter of the above-identified application, Applicant is submitting herewith a copy of a communication from a foreign patent office in a counterpart foreign application and copies of the documents listed in the attached form equivalent to Form PTO-1449 for the Examiner's consideration.

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This Information Disclosure statement is being submitted:

- ☐ 1) within three months of the filing date
- ☐ 2) within three months of the date of entry of the national phase
- ☐ 3) before the mailing date of a first Office Action on the merits
- ☒ 4) before the mailing date of either a Final Action or a Notice of Allowance and is

accompanied by

- ☐ a) the fee of \$_____ set forth in 37 CFR 1.17(p).
- ☒ b) the following certification specified in 37 CFR 1.97(e).

On information and belief, I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

☒ 1) Each of the documents listed on the attached form equivalent to Form PTO-1449 is in the English language.

☐ 2) To the extent the documents listed on the attached form equivalent to Form PTO-1449 are not in the English language, the requirement of 37 CFR 1.98(a) (3) for a concise explanation of the relevance is satisfied by

☐ 1) an English language version or translation of the foreign patent office report citing the documents.

☐ 2) the following remarks.

☐ 3) the discussion of these documents in the specification.


It is respectfully requested that this Information Disclosure Statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, includes extension of time fees, to the Deposit Account No. 50-0838 of Alcatel USA, and please credit any excess fees to such Deposit Account.

Respectfully submitted,

ALCATEL

Dated: Feb. 12, 2004


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